



ATTORNEYS AT LAW

1940 DUKE STREET  
ALEXANDRIA, VIRGINIA 22314  
USA(703) 413-3000  
(703) 413-2220 FACSIMILE

OBLONPAT@OBLON.COM

PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION

WWW.OBLON.COM

## FACSIMILE

PLEASE CALL US AT (703) 413-3000 IF THE MESSAGE YOU RECEIVE IS INCOMPLETE OR NOT LEGIBLE

TO	Examiner Leung	February 24, 2004
NAME	USPTO	DATE
COMPANY/FIRM		FAX # 571-273-1943 <i>per [signature]</i>
NUMBER OF PAGES INCLUDING COVER:		CONFIRM FAX: <input type="checkbox"/> YES <input type="checkbox"/> NO
FROM	Kirsten Grueneberg	214438US
NAME	703-412-7050	OUR REFERENCE
DIRECT PHONE #		YOUR REFERENCE 09/964,463

## MESSAGE

Please find the following attachments:

Applicant Initiated Interview Request Form (1 pg.)  
Copy of Date-Stamped Filing Receipt (February 2, 2004) (1 pg.)  
Copy of Amendment Cover Letter (1 pg.)  
Copy of Amendment and Request for Reconsideration (11 pp.)

Unless otherwise indicated or obvious from the nature of the transmittal, the information contained in this facsimile message is attorney privileged and confidential information intended for the use of the individual or entity named above. If the reader of this message is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error or are not sure whether it is privileged, please immediately notify us by telephone and return the original message to us at the above address via the U.S. Postal Service at our Expense. Thank You.

Dept.: ChemicalBy: NFO/C/L/pacOSMM&N File No. 214438USORDSerial No. 09/964,463In the matter of the Application of: Masaaki ONOMURA, et al.For: SEMICONDUCTOR LASER DIODE**Due Date: January 31, 2004**

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Amendment Cover Sheet
- Amendment and Request for Reconsideration

**COPY**

Docket No. 214438US0RD  
 IN RE APPLICATION OF: Masaaki ONOMURA, et al.  
 SERIAL NO: 09/964,463  
 FILED: September 28, 2001  
 FOR: SEMICONDUCTOR LASER DIODE

COMMISSIONER FOR PATENTS  
 ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an Amendment and Request for Reconsideration in the above-identified application.

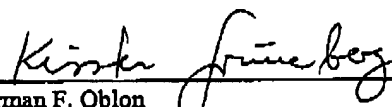
- ☐ No additional fee is required  
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.  
☐ Additional documents filed herewith:

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	30	MINUS	23	7	x \$18 =	\$126.00
INDEPENDENT	4	MINUS	3	1	x \$86 =	\$86.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$290 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$212.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$212.00

- ☒ A check in the amount of \$212.00 is attached.
- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
 MAIER & NEUSTADT, P.C.

  
 Norman F. Oblon  
 Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
 Fax. (703) 413-2220  
 (OSMMN 05/03)

Kirsten A. Grüneberg, Ph.D.  
 Registration No. 47,297

**COPY**